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The Regional Greenhouse Gas Initiative

an initiative of Eastern States of the US

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Compliance

Under each RGGI state's CO₂ Budget Trading Program, sources (fossil-fueled power plants 25MW* and larger located within the RGGI participating states) are required to possess CO₂ allowances equal to their CO₂ emissions over a three-year control period.

A CO₂ allowance represents a limited authorization to emit one ton of CO₂, as issued by a respective State. Each RGGI state's environmental regulatory agency uses RGGI COATS to determine compliance with state CO₂ Budget Trading Program regulations, by comparing a source's covered emissions with the allowances held in their compliance account.

*Please see New York's regulation for its specific applicability criteria.

RGGI is currently in its fifth three-year control period. Three-year control periods have proceeded as follows:

Three-Year Control Period	Start Date	End Date
Fifth Control Period	Jan. 1, 2021	Dec. 31, 2023
Fourth Control Period	Jan. 1, 2018	Dec. 31, 2020
Third Control Period	Jan. 1, 2015	Dec. 31, 2017
Second Control Period	Jan. 1, 2012	Dec. 31, 2014
First Control Period	Jan. 1, 2009	Dec. 31, 2011

The RGGI participating states are Connecticut, Delaware, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, Rhode Island, Vermont, and Virginia. The state of New Jersey participated in the first control period and resumed its participation in 2020. Virginia initiated its participation in 2021.

Compliance is evaluated at the end of each three-year control period. Starting in the third control period, the RGGI states have also conducted interim control period compliance, which requires each CO₂ budget source to hold allowances equal to 50 percent of their emissions during each interim control period (the first two calendar years of each three-year control period).

To learn more about the 2021 interim control period CO₂ budget source compliance process, please see the documents below.

RGGI COATS provides public reports (</allowance-tracking/rggi-coats>) of compliance data.

2021 Interim Compliance Documents	Format	Updated
2021 Interim Compliance Checklist (/sites/default/files/Uploads/Compliance-Materials/RGGI_2021_Interim_Compliance_Checklist.pdf)	PDF	5.25.21
2021 Interim Compliance Fact Sheet (/sites/default/files/Uploads/Compliance-Materials/RGGI_2021_Interim_Compliance_Fact_Sheet.pdf)	PDF	5.25.21
2021 Interim Compliance FAQ (/sites/default/files/Uploads/Compliance-Materials/RGGI_2021_Interim_Compliance_FAQ.pdf)	PDF	5.25.21